

**College of Insurance**  
**Insurance Institute of India, Mumbai**  
**Compliance Governance and Risk Management in Insurance**  
**Program ID: CPC11**  
**(From: 23<sup>rd</sup> to 25<sup>th</sup> November, 2020)**

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**Relevance of the Program:-**

The financial sector has become more and more challenging in recent times with the country working closer with the global community. Changes happening worldwide are constantly capturing the attention of Indian regulators and due care is being taken so the Indian industry has its share of learning from happenings elsewhere on the globe.

Insurance professionals, like their counterparts in the financial sector, have to keep their knowledge of the changing regulatory requirements updated. Those involved in governance and compliance functions need to know this as part of their job requirement. However, to perform efficiently and to ensure that the spirit of the regulations are not lost under the monotony and routine nature of mandatory compliances, they need to appreciate the philosophy behind the regulations, widen their awareness of international trends and develop perspectives to ensure proper application of their knowledge to local realities.

To address this challenge, the Institute of Company Secretaries of India (ICSI) and Insurance Institute of India (III) have joined hands to create an academic course to create expertise in regulatory compliance in the Insurance industry.

**Program Objective:-**

The objective of the Course is to create a cadre of Associates/ Fellows of III and ICSI professionals in the Insurance industry to be well versed in risk management, governance and regulatory compliances.

**Program Content:-**

The Course covers matters relating to Risk Management, Governance and Compliance in the Insurance industry. This would include:

- understanding the conceptual framework of insurance regulation,
- awareness of the international regulatory scenario,
- statutory provisions contained in various legislations applicable in the country,
- specific regulations drawn by the insurance regulator,
- market conduct and
- In-depth learning of the various compliances required in the sector.

**Participant Profile:-**

- Associate/ Fellow Members of Institute of Company Secretaries of India
- Or
- Associate/ Fellow Members of Insurance Institute of India

This classroom training is open only for those who have already registered for the “Compliance Governance and Risk Management in Insurance” (*Popularly referred to as Insurance Regulatory Compliance Course (IRCC)*)

### Course Coordinator:-

Dr George E Thomas – 022-26544271      Email: [thomas@iii.org.in](mailto:thomas@iii.org.in)

### Program Duration & Timing: -

3 days & from 10.00 a.m. to 05.00 p.m.

### Course Fees:-

- **Participants requiring residential facilities: Total amount Required –Rs. 17700-i.e. (Rs. 15000/- plus 9% CGST + 9% SGST).**

The fees cover tuition, course material, A/C single room accommodation in the Institute's campus and full boarding (bed tea/coffee, breakfast, lunch, light refreshments in the evening and dinner). All rooms are fully furnished with attached bathroom and Internet facility.

Rooms are reserved from 12.00 noon onwards the day prior to the commencement of the program. The participants can stay till 12.00 noon next day after the conclusion of the program.

- **Non-residential participants: Total amount Required –Rs. 12744/-i.e. (Rs. 10800/- plus 9% CGST + 9% SGST).**

The fees cover tuition, course material and day boarding (i.e. tea/coffee during tea breaks and lunch for actual days of training).

### Training Venue:-

Please follow below link / QR Code for Training Venue

<https://qr.go.page.link/qs2Qb>



### Parking Facility:-

Public parking available at 5 minutes walking distance (Behind US Consulate).

### Respond to:-

Ms E. D'souza	<a href="mailto:college_insurance@iii.org.in">college_insurance@iii.org.in</a>	022-26544210
Mr D V Govekar		022-26544247
Ms S S Vaidya		022-26544266
Ms A P Dalvi		022-26544254
Mr P M Tare		022-26544251
Ms N B Bagde		022-26544234



**College of Insurance  
Insurance Institute of India,  
G Block, Plot No. C – 46, Near American Consulate, Bandra Kurla Complex,  
Bandra (East), Mumbai – 400051**

**Nomination Form**

- 1) Participant Name: \_\_\_\_\_
- 2) Program Name: \_\_\_\_\_
- 3) Program Period: \_\_\_\_\_
- 4) Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- 5) Educational Qualification: \_\_\_\_\_
- 6) Date of Birth: \_\_\_\_\_
- 7) Position Held: \_\_\_\_\_
- 8) Mobile No: \_\_\_\_\_
- 9) Telephone No.: \_\_\_\_\_
- 10) E-Mail: \_\_\_\_\_
- 11) Alternate E-Mail: \_\_\_\_\_
- 12) Sponsoring Organisation: \_\_\_\_\_
- 13) Food preference:  Vegetarian  Non-Vegetarian
- 14) Hostel Facility Required:  Yes  No
- 15) Details of Fee Paid: Cheque / D.D. No. \_\_\_\_\_ dt. \_\_\_\_\_  
Drawn on \_\_\_\_\_ Bank, for Rs. \_\_\_\_\_

Please  
affix your  
photograph  
here

\_\_\_\_\_  
Signature & seal of the authority  
of the Sponsoring organisation

\_\_\_\_\_  
Signature of the Participant

\*Name of Co-ordinator: \_\_\_\_\_  
Mobile No: \_\_\_\_\_ Tel. No.: \_\_\_\_\_  
Email-Id.: \_\_\_\_\_ Company Name: \_\_\_\_\_

\*This column is mandatory for those participants who are sponsored by company.