

**College of Insurance  
Insurance Institute of India, Mumbai  
Classroom Training Session on Regulatory  
Compliance in Insurance  
Program ID – CPL3  
(From: 17<sup>th</sup> to 18<sup>th</sup> April, 2023)**

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**Background:-**

Apart from Pandemic induced changes including increasing digitalisation of sales and business processes, the legislative and regulatory landscape in the life insurance sector is fast evolving. Public listing of Life Insurers brings additional scrutiny of Investors and Analysts on the working of the company. Any breaches in Legal and regulatory compliance could carry a large reputation risk especially in the era of 24x7 news channels and social media. It is necessary for the Life Insurers to recognise that the Legal and Regulatory Compliance is not the job of Compliance department alone but Compliance is understood, appreciated and inculcated across all the levels of the organisation including the sales force. Certain amendments such as Section 45 of the Insurance Act, 1938 require altogether a new paradigm of underwriting and calling a policy into question.

This situation calls for a deeper understanding, better appreciation of critical legal and regulatory and a meticulous alignment of operations.

The College of Insurance (COI) attempts to address this need of the Indian market through the ‘Regulatory Compliance in Life Insurance’ (LRCLI) Program. COI-LRCLI is specifically designed to sensitize the mid to senior officers of Life Insurers and those handling compliance functions.

**Program Objectives:-**

Col-RCB covers the following.

- Appreciate the purpose of regulatory compliances in the insurance industry
- Identify areas where failures can happen
- Understand important Legal and Regulatory provisions
- Create appropriate processes within the company to prevent violations

**Program Contents:-**

Domain experts will discuss the above topics in the context of Regulations issued by the Indian Regulator and International Regulatory Principles

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**Participant's Profile:-**

This Program is designed for Senior and Middle level executives in Insurance Companies and those involved in Regulatory Compliances and Sales, CRM, Claims functions

**Course Coordinator:-**

Mr Vepachedu Jayanth Kumar– 022-26544285      Email: [vjayanth@iii.org.in](mailto:vjayanth@iii.org.in)

**Program Duration & Timing: -**

2 days & from 10.30 a.m. to 05.00 p.m.

**Course Fees:-**

- **Participants requiring residential facilities: Total amount Required –Rs. 11800/- i.e. (Rs. 10000/- plus 9% CGST + 9% SGST).**

The fees cover tuition, course material, A/C single room accommodation in the Institute's campus and full boarding (bed tea/coffee, breakfast, lunch, light refreshments in the evening and dinner). All rooms are fully furnished with attached bathroom and Internet facility.

Rooms are reserved from 12.00 noon onwards the day prior to the commencement of the program. The participants can stay till 12.00 noon next day after the conclusion of the program.

- **Non-residential participants: Total amount Required –Rs. 8496/- i.e. (Rs. 7200/- plus 9% CGST + 9% SGST).**

The fees cover tuition, course material and day boarding (i.e. tea/coffee during tea breaks and lunch for actual days of training).

**Payment Terms and Conditions:-**

- 1) The payment should be received by the College of Insurance before the commencement of the program.
- 2) The confirmation of registration for the program will be subject to receipt of payment.
- 3) Please see below details for Bank Transfer.

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Name of the Beneficiary	Insurance Institute of India
Account No.	004010100143462
IFS Code	UTIB0000004
MICR Code	400211002
Swift Code	AXISINBB004
Name of Bank	Axis Bank Ltd
Address	Fort Branch, Universal Insurance Building, Ground Floor, Sir P M Road, Fort, Mumbai – 400001.

4) For online payment mode by following the below link

[https://www.coi.org.in/COI\\_WebPortal/cmc/regDetails.htm?eventid=1654](https://www.coi.org.in/COI_WebPortal/cmc/regDetails.htm?eventid=1654)

**Training Venue:-**

Please follow below link / QR Code for Training Venue

<https://qrگو.page.link/qs2Qb>



**Parking Facility:-**

Public parking available at 5 minutes walking distance (Behind US Consulate).

**Respond to:-**

Ms. M M Satam	<a href="mailto:college_insurance@iii.org.in">college_insurance@iii.org.in</a>	022-26544324
Ms S S Vaidya		022-26544266
Ms A P Dalvi		022-26544254
Mr P M Tare		022-26544251
Ms N B Bagde		022-26544234

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**Nomination Form**

- 1) Name: \_\_\_\_\_
- 2) Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- 3) Educational Qualification: \_\_\_\_\_
- 4) Date of Birth: \_\_\_\_\_
- 5) Position Held.: \_\_\_\_\_
- 6) Mobile No : \_\_\_\_\_
- 7) Telephone No. : \_\_\_\_\_
- 8) E-Mail: \_\_\_\_\_
- 9) Alternate E-Mail: \_\_\_\_\_
- 10) Sponsoring Organisation: \_\_\_\_\_

Please affix  
your  
photograph  
here

- 11) Food preference:  Vegetarian  Non-Vegetarian
- 12) Accommodation Required:  Yes  No

- 13) Details of Fee Paid: Cheque / D.D. No. \_\_\_\_\_ dt. \_\_\_\_\_  
Drawn on \_\_\_\_\_ Bank, for Rs. \_\_\_\_\_

\_\_\_\_\_  
Signature & seal of the authority  
of the Sponsoring organisation

\_\_\_\_\_  
Signature of the Participant

\*Name of Co-ordinator: \_\_\_\_\_

Mobile No: \_\_\_\_\_ Tel. No.: \_\_\_\_\_

Email-Id.: \_\_\_\_\_ Company Name: \_\_\_\_\_

**\*This column is mandatory for those participants who are sponsored by company.**